

# A GEOMETRIC CHARACTERIZATION OF A SHARP HARDY INEQUALITY

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**ABSTRACT.** In this paper, we prove that the distance function of an open connected set in  $\mathbb{R}^{n+1}$  with a  $C^2$  boundary is superharmonic in the distribution sense if and only if the boundary is *weakly mean convex*. We then prove that Hardy inequalities with a sharp constant hold on weakly mean convex  $C^2$  domains. Moreover, we show that the weakly mean convexity condition cannot be weakened. We also prove various improved Hardy inequalities on mean convex domains along the line of Brezis-Marcus [7].

## 1. INTRODUCTION

When  $n \geq 2$ , the well-known Hardy inequality states that

$$(1.1) \quad \left(\frac{n-1}{2}\right)^2 \int_{\mathbb{R}^{n+1}} \frac{|u(x)|^2}{|x|^2} dx \leq \int_{\mathbb{R}^{n+1}} |\nabla u|^2 dx, \quad u \in C_0^\infty(\mathbb{R}^{n+1}),$$

where  $C_0^\infty(\mathbb{R}^{n+1})$  denotes the set of  $C^\infty$  functions on  $\mathbb{R}^{n+1}$  with compact support.

For domains with boundaries, Hardy's inequality can be formulated in terms of the distance function from points in the domain to the boundary. In this paper, a domain is an open connected subset of a Euclidean space. The following Hardy-type inequality on domains has been studied by several authors:

$$(1.2) \quad \int_{\Omega} |\nabla f(x)|^p dx \geq c(n, p, \Omega) \int_{\Omega} \frac{|f(x)|^p}{\delta(x)^p} dx, \quad f \in C_0^\infty(\Omega),$$

where  $\Omega \subset \mathbb{R}^{n+1}$  is a domain with nonempty boundary,  $n \geq 1$ ,  $1 < p < \infty$ , and  $\delta(x) := \inf_{y \in \mathbb{R}^{n+1} \setminus \Omega} \text{dist}(x, y)$ . For a *convex domain*  $\Omega$  in  $\mathbb{R}^{n+1}$ ,  $n \geq 1$ , the best constant is

$$(1.3) \quad c(n, p, \Omega) = \left(\frac{p-1}{p}\right)^p,$$

see [29] and [30].

When  $n \geq 2$ , many results for the Hardy inequality assume that the domain is convex. However, there are indications that the Hardy inequality

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should hold for non-convex domains as well. Filippas, Maz'ya, and Tertikas [15] proved that in a small enough tubular neighborhood of the boundary of a bounded domain, a Hardy-Sobolev inequality holds. In [17], they showed that a Hardy-Sobolev inequality holds if a bounded  $C^2$  domain  $\Omega \subset \mathbb{R}^{n+1}$ ,  $n \geq 2$ , satisfies the condition

$$(1.4) \quad -\Delta\delta(x) \geq 0,$$

(see Theorem 1.1 (i) and condition (C) in [17]).

Filippas, Moschini, and Tertikas [18] proved an improved Hardy inequality for domains satisfying

$$(1.5) \quad -\operatorname{div}(|x|^{1-n}\nabla\delta(x)) \geq 0, \quad \text{a.e. in } \Omega,$$

see another proof in [2].

Notice that conditions (1.4) and (1.5) are global conditions. Namely, they depend on the property of the whole domain which can make them hard to verify. As a consequence, there are few known non-convex examples for application. In fact, the only examples stated in [18] satisfying condition (1.5) are balls  $B_R$ . Convex domains are known to satisfy condition (1.4). For non-convex domains, a ring torus is shown to satisfy (1.4) by Armitage and Kuran in [1]. The superharmonicity of the same example has been shown to hold off a measure zero set in a recent work of Balinsky, Evans, and Lewis [4]. For other non-convex domains, Hardy type inequalities are proved to be true for small enough tubular neighborhood of a surface [15] and convex domains with punctured balls [2].

Clearly the convexity assumption is very restrictive. On the other hand, there are smooth bounded domains on which Hardy's inequality fails with the sharp constant, (see [30, 29]). It has been an outstanding question as to whether there is a more general criteria for domains for which a sharp Hardy inequality holds.

We will give an affirmative answer to this question. To illustrate the main idea, we first recall for a domain  $\Omega \subset \mathbb{R}^{n+1}$  with  $C^2$  boundary  $\partial\Omega$ , the principal curvatures with respect to the outward unit normal

$$\kappa = (\kappa_1, \dots, \kappa_n)$$

at a point on the boundary are defined as the eigenvalues of the second fundamental form with respect to the induced metric. It is well-known that a bounded domain with a  $C^2$  boundary is strictly convex if and only if  $\kappa_i > 0$  for each  $i = 1, \dots, n$ , (see e.g. chapter 13 of [35]).

The trace of the second fundamental form is defined as the mean curvature  $H = \sum_i \kappa_i$ , where we adopt the convention that a standard unit sphere  $\mathbb{S}^n \subset \mathbb{R}^{n+1}$  has mean curvature  $n$  everywhere. We now recall the definition of a mean convex domain.

**Definition 1.1.** *Suppose  $\Omega \subset \mathbb{R}^{n+1}$  is a domain with  $C^2$  boundary  $\partial\Omega$ . We say  $\Omega$  or  $\partial\Omega$  is (strictly) mean convex, if the mean curvature  $H(y) > 0$  for all  $y \in \partial\Omega$ ; and weakly mean convex, if  $H(y) \geq 0$ , for all  $y \in \partial\Omega$ .*

The mean convexity condition is a much weaker condition than the convexity condition since the fundamental group of a convex domain has to be trivial while for a mean convex domain it may be non-trivial. For example, a ring torus with minor radius  $r$  and major radius  $R$  satisfying  $R > 2r$  has positive mean curvature  $H > 0$  everywhere. When  $R = 2r$ , this ring torus is called a *critical* ring torus. Other non-convex examples include a small perturbation of the above ring torus, a torus with high genus, a long cow horn, etc. Another highly interesting surfaces from differential geometry are minimal surfaces which have  $H \equiv 0$  everywhere and may possess rich topological and geometric structure.

There has been an increasing amount of attention in recent studies of partial differential equations and associated inequalities on  $\Omega \subset \mathbb{R}^n$  devoted to the effects of curvature of the boundary  $\partial\Omega$ . In particular, the important role of the mean curvature for points on  $\partial\Omega$  has been investigated recently, e.g., see Harrell [22], Harrell and Loss [23], and Ghoussoub and Robert [24]. Curvature-induced bound states in quantum wave guides arise in work of Duclos and Exner [12]. More recently in [4], curvature is shown to be an important consideration in the study of Hardy-type inequalities. We continue those studies here.

*From now on, unless otherwise stated, we use  $\Omega \subset \mathbb{R}^{n+1}$  to denote a domain with  $C^2$  boundary,  $n \geq 1$ .*

We now state one of our main theorems in this paper.

**Theorem 1.2.** *Suppose  $\Omega \subset \mathbb{R}^{n+1}$  is weakly mean convex, then for any  $f \in C_0^\infty(\Omega)$ , with  $p > 1$ , the following holds*

$$(1.6) \quad \int_{\Omega} |\nabla f(x)|^p dx \geq c(n, p, \Omega) \int_{\Omega} \frac{|f(x)|^p}{\delta(x)^p} dx,$$

where  $c(n, p, \Omega) = (\frac{p-1}{p})^p$  is the best constant. Moreover, equality in (1.6) can not be achieved by non-zero functions.

In general, the best constant in (1.6) for  $p > 1$  is given by

$$(1.7) \quad \mu_p(\Omega) := \inf_{u \in W_0^{1,p}(\Omega)} \frac{\int_{\Omega} |\nabla u|^p}{\int_{\Omega} |u/\delta|^p}$$

which we denote by  $\mu(\Omega)$  when  $p = 2$ . For convex domains  $\mu(\Omega) = 1/4$ , (see [30, 29]), but there are smooth bounded domains such that  $\mu(\Omega) < 1/4$ . For smooth bounded domains and  $p = 2$ , the infimum in (1.7) is achieved if and only if  $\mu(\Omega) < 1/4$ .

For a bounded domain with  $C^2$  boundary  $\partial\Omega$  we know that  $\mu_p(\Omega) \leq (\frac{p-1}{p})^p$  (see [29]). On the other hand, inequality (1.6) implies that  $\mu(\Omega) \geq (\frac{p-1}{p})^p$  for weakly convex domains. As a consequence of Theorem 1.2, we

now know that  $\mu(\Omega) = (\frac{p-1}{p})^p$  for bounded *weakly mean convex* domains with a  $C^2$  boundary.

This boundary geometric condition is also sharp in the sense that the condition  $H \geq 0$  can not be weakened. Explicit examples are constructed in section 4 showing that the sharp Hardy inequality fails if the boundary condition is weakened to  $H \geq -\epsilon$ , for any  $\epsilon > 0$ .

Moreover, neither the diameter nor the interior radius of the domain  $\Omega$  in (1.6) need to be bounded. Many of the previous theorems need to assume that the domain is either bounded or the interior radius is bounded.

In this paper, we will also prove a Brezis-Marcus type of improved Hardy inequality. In the case  $p = 2$ , Brezis and Marcus [7] proved the following inequality for bounded domains with  $C^2$  boundary

$$(1.8) \quad \int_{\Omega} |\nabla u|^2 dx \geq \frac{1}{4} \int_{\Omega} (u/\delta)^2 dx + \Lambda \int_{\Omega} u^2 dx, \forall u \in H_0^1(\Omega),$$

where  $\Lambda$  is the best constant defined as

$$(1.9) \quad \Lambda := \inf_{\int_{\Omega} f^2 dx = 1} \left[ \int_{\Omega} |\nabla f|^2 dx - \frac{1}{4} \int_{\Omega} \frac{f^2}{\delta^2} dx \right].$$

When  $\Omega$  is a *convex domain*, they showed that  $\Lambda \geq \lambda_{BM} := \frac{1}{4 \text{diam}^2(\Omega)}$  which gave an improved Hardy inequality with a positive remainder term. Along this line, there have been intensive studies on improved Hardy type inequalities recently, see e.g. [7, 5, 11, 25, 19, 37, 36, 16, 18, 2, 14, 4] and the references therein. For the most part the estimates are given for convex domains. For example, Hoffmann-Ostenhof, M., Hoffmann-Ostenhof, Th., and Laptev [25] proved  $\Lambda \geq \lambda_{HHL} := \frac{c(n)}{|\Omega|^{\frac{n+1}{n+1}}}$  for  $n \geq 1$ , where  $c(n) = \frac{(n+1)^{\frac{n-1}{n+1}} |\mathbb{S}_n|^{\frac{2}{n+1}}}{4}$  and  $|\mathbb{S}_n|$  is the area of the unit sphere; Filippas, Maz'ya, and Tertikas [16] proved  $\Lambda \geq \lambda_{FMT} := \frac{3}{4} R_{int}^{-2}$  for  $n \geq 2$ , where  $R_{int} := \sup_{x \in \Omega} \delta(x)$ ; Evans and Lewis [14] proved  $\Lambda \geq \lambda_{EL} := 6\lambda_{HHL}$ ; Avkhadiiev and Wirths [3] proved  $\Lambda \geq \lambda_{AW} := j_0^2 R_{int}^{-2}$  where  $j_0 = 0.940 \dots$  is the first positive root of an equation of Bessel's function. Results in [25], [16], [14] and [3] improved the estimate for  $\Lambda$  in [7].

Below we will give an improved inequality on *weakly mean convex* domains along the line of Brezis-Marcus.

**Theorem 1.3.** (Improved Hardy-Brezis-Marcus Inequality) *Suppose  $\Omega \subset \mathbb{R}^{n+1}$  is weakly mean convex and assume that  $H_0 := \inf_{x \in \partial\Omega} H(x) \geq 0$ , then for any  $f \in C_0^\infty(\Omega)$*

$$(1.10) \quad \int_{\Omega} |\nabla f|^2 dx \geq \frac{1}{4} \int_{\Omega} \frac{|f|^2}{\delta^2} dx + \lambda(n, \Omega) \int_{\Omega} |f|^2 dx,$$

$$\text{where } \lambda(n, \Omega) = \inf_{x \in \Omega} \frac{-\Delta \delta(x)}{2\delta(x)} \geq \frac{2}{n} H_0^2.$$

The  $L^p$  version of this theorem is stated in Theorem 4.3. The constant  $\lambda(n, \Omega)$  in Theorem 1.3 depends on  $\Omega$ . In general,  $\lambda(\Omega) > \frac{2}{n}H_0^2$ , but we will show that if  $\Omega$  is a ball, then  $\lambda(\Omega) = \frac{2}{n}H_0^2$ . More specifically, we have the following corollary of Theorem 1.3.

**Corollary 1.4.** *For any  $f \in C_0^\infty(B_R)$ , the following holds:*

$$(1.11) \quad \int_{B_R} |\nabla f|^2 dx \geq \frac{1}{4} \int_{B_R} \frac{|f|^2}{\delta^2} dx + \lambda(n, R) \int_{B_R} |f|^2 dx,$$

where  $\lambda(n, R) = \frac{2n}{R^2}$ .

In the general weakly mean convex case, it is possible that  $H_0$  is zero on some subsets of the boundary, but  $\lambda(n, \Omega)$  is still strictly positive. Consider the critical ring torus example with major radius  $R = 2$  and minor radius  $r = 1$ . Direct calculations show that mean curvature on the inner equator is  $H \equiv 0$  but  $\lambda(n, \Omega) = 1$ . More details can be found in Example 1 section 6.

Other extreme examples, which may be of independent interest, are domains with embedded minimal surfaces as boundary.

**Corollary 1.5.** *Let  $\Omega \subset \mathbb{R}^3$  be an open connected set which has an embedded minimal surface  $\mathcal{M}$  as the boundary, i.e.,  $H(\mathbf{y}) \equiv 0$  for any  $\mathbf{y} \in \mathcal{M}$ . Let  $\kappa_0 := \inf_{\mathbf{y} \in \mathcal{M}} |\kappa(\mathbf{y})|$  be the infimum of the absolute value of all the principal curvatures. Then for any  $f \in C_0^\infty(\Omega)$ , we have the following.*

$$(1.12) \quad \int_{\Omega} |\nabla f|^2 d\mathbf{x} \geq \frac{1}{4} \int_{\Omega} \frac{|f|^2}{\delta^2} d\mathbf{x} + \lambda(n, \Omega) \int_{\Omega} |f|^2 d\mathbf{x},$$

where  $\lambda(n, \Omega) = \kappa_0^2$ .

The proof of Corollary 1.5 can be found in section 6.

One of the key observations of this paper is the following theorem. We believe it is also of independent interest. (See section 2 for the definition of the near point and good set  $G$ .)

**Theorem 1.6.** *Let  $n \geq 1$ ,  $\Omega \subset \mathbb{R}^{n+1}$  and  $\delta(x) := \inf_{y \in \mathbb{R}^{n+1} \setminus \Omega} \text{dist}(x, y)$ . Then*

$$(1.13) \quad -\Delta \delta(x) \geq \frac{nH(y)}{n - \delta H(y)},$$

in the distribution sense: for any  $\varphi \in C_0^\infty(\Omega)$ ,  $\varphi \geq 0$ , we have

$$(1.14) \quad \int_{\Omega} \nabla \delta \nabla \varphi dx \geq \int_{\Omega} \frac{nH}{n - \delta H} \varphi dx,$$

where  $H(y)$  is the mean curvature at the nearest point  $y = N(x) \in \partial\Omega$  for points  $x \in G$ .

It is well-known that the Hessian of the distance function is positive definite for a convex domain, see, e.g., [21]. However, to prove Hardy-type inequalities, the full strength of a positive Hessian is not needed. Only the Laplacian of  $\delta(x)$  is involved. Using Theorem 1.6, we can reduce the global superharmonicity condition of the distance function to a geometric boundary condition which has been intensively studied in differential geometry.

Armitage and Kuran [1] proved that  $\delta(x)$  is superharmonic if the domain is convex. They also showed by examples that the converse is not true when  $n > 1$ .

Moreover, we have the following equivalence theorem which states that the superharmonicity of the Laplacian of the distance function can be uniquely characterized by the boundary mean curvature.

**Theorem 1.7. (Equivalence Theorem)** *Let  $\Omega \subset \mathbb{R}^{n+1}$  and  $\delta(x)$  be the distance function to the boundary. Then  $\delta(x)$  is a superharmonic function on  $\Omega$  off the singular set  $S$  if and only if  $\partial\Omega$  is weakly mean convex, where  $S$  is defined in (2.1).*

**Remark 1.8.** *When  $n = 1$ , it is well-known that mean convexity is equivalent to convexity. A more general equivalence result is stated in Proposition 3.9.*

Theorem 1.6 was motivated by recent work of Balinsky, Evans, and Lewis [4] as well as Lemma 14.17 of Gilbarg-Trudinger [21]. Lemma 14.17 of [21] was used by Flippas, Maz'ya, and Tertikas [17] to estimate the upper bound of  $|\delta\Delta\delta|$  when the point is close to the boundary, see Condition (R) in [17]. In [4], a generalization of it was used by Balinsky, Evans, and Lewis to relate the Laplacian of distance function on the whole domain (except for a set of measure zero) to the boundary principal curvatures.

The rest of this paper is organized as follows. In section 2, we collect necessary preliminaries and relate the superharmonicity of the distance function to the boundary geometry on points in the domain off the singular set. In section 3, we prove Theorem 1.6. In section 4, we give proofs to the main theorems and discuss the sharpness of the geometric boundary conditions. In section 5, we extend other related important inequalities to mean convex domains. In section 6, we give non-trivial examples of non-convex domains on which Hardy type inequalities hold.

## 2. THE DISTANCE FUNCTION AND BOUNDARY GEOMETRY

Let  $\delta(x) := \inf_{y \in \mathbb{R}^{n+1} \setminus \Omega} \text{dist}(x, y)$  denote the distance from a point  $x \in \Omega$  to  $\partial\Omega$ . In this section, we recall some properties of this distance function. For  $x \in \Omega$ , let  $N_{\partial\Omega}(x) := \{y \in \partial\Omega : |y - x| = \delta(x)\}$  denote the set of nearest

points on  $\partial\Omega$ . When  $N_{\partial\Omega}(x)$  contains exactly one point, we denote it as  $N(x)$ .

This distance function has been extensively studied. The main references we refer to here are [21, 27], see also [13]. Recall the following definition from Li-Nirenberg [27].

**Definition 2.1.** *Let  $G \subset \Omega$  be the largest open subset of  $\Omega$  such that for every  $x$  in  $G$  there is a unique nearest point on  $\partial\Omega$  to  $x$ . We call the complement of the good set  $G$  to be a singular set and denote it as  $S = \Omega \setminus G$ .*

We know that  $\delta(x)$  is locally Lipschitz continuous, cf. [21], hence it is differentiable a.e.. Theorem 5.1.5 ([13]) implies that  $\delta(x)$  is differentiable in  $\Omega$  if and only if  $N_{\partial\Omega}(x)$  contains only one element. In particular, it is differentiable in  $G$ . Hence, if  $x \in G$ , then  $\delta(x)$  is differentiable,  $\nabla\delta(x)$  is continuous, and  $\nabla\delta(x) = \frac{x-y}{|x-y|}$  where  $y = N(x)$  is the nearest point.

We will show that if the boundary  $\partial\Omega$  is  $C^2$ , then  $\delta(x)$  is  $C^2$  in  $G$ . Note that a proof of this result in the much more general setting of Finsler manifolds was given in [27, 28]. First we have the following geometric lemma.

**Lemma 2.2.** *Let  $\Omega \subset \mathbb{R}^{n+1}$ . Suppose  $x \in G$  and let  $y = N(x)$  be the nearest point of  $x$  on the boundary. Let  $\kappa_i(y)$ ,  $i = 1, \dots, n$  be the principal curvatures of the boundary at  $y$  with respect to the outward unit normal, then*

$$(2.1) \quad 1 - \delta(x)\kappa_i(y) > 0,$$

for all  $x \in G$  and for all  $i$ .

*Proof.* Suppose  $x \in G$ . Let  $B_\delta(x)$  be the ball centered at  $x$  with radius  $\delta$  satisfying  $\overline{B}_\delta(x) \cap (\mathbb{R}^{n+1} \setminus \Omega) = \{y\}$ . We may assume  $\kappa_i > 0$ , otherwise the statement is trivial. Recall the principal radius is the reciprocal of principal curvature, i.e.,  $r_i := \frac{1}{\kappa_i}$ . It is also the radius of the osculating circle. Since the boundary is  $C^2$ , it is geometrically evident that  $\delta(x) \leq r_i$ . Otherwise  $\overline{B}_\delta(x)$  will enclose the osculating circle and will intersect the boundary more than once. Equivalently, we know  $1 - \delta\kappa_i \geq 0$ . On the other hand, if  $x \in G$ , then  $1 - \delta(x)\kappa_i > 0$ . Indeed, in view of Corollary 4.11 of [27], there exists  $\epsilon > 0$  such that

$$x_t := N(x) + [\delta(x) + t]\eta(N(x)) \in G, \quad 0 < t \leq \epsilon,$$

for  $\eta(N(x)) := -\nu(N(x))$  to be the unit inward normal at  $N(x)$  and

$$\delta(x_t) = \delta(x) + t.$$

Consequently,

$$B(x_t, \delta(x) + \epsilon) \subset G,$$

from which we deduce  $1 - \delta(x)\kappa_i > 1 - [\delta(x) + \epsilon]\kappa_i \geq 0$ .  $\square$

Applying Lemma 2.2, one has the following lemmas.

**Lemma 2.3.** *Let  $\Omega \subset \mathbb{R}^{n+1}$ . Then the distance function  $\delta(x)$  is in  $C^2(G)$ .*

*Proof.* The proof of this lemma is by the standard inverse mapping theorem which can be found in Gilbarg-Trudinger [21]. The original proof was for a small enough tubular neighborhood of the boundary and can be found in Lemma 14.16 in [21]. For reader's convenience, we include the proof here and modify it slightly for this setting.

For  $y \in \partial\Omega$ , we could let  $\nu(y)$  and  $T(y)$  denote respectively the unit outward normal to  $\partial\Omega$  at  $y$  and the tangent hyperplane to  $\partial\Omega$  at  $y$ . By a rotation of coordinates we can assume that the  $x_{n+1}$  coordinate axis lies in the direction  $-\nu(y_0)$ . In some neighborhood  $\mathcal{N}$  of  $y_0$ ,  $\partial\Omega$  is then given by  $x_{n+1} = \varphi(x')$  where  $x' = (x_1, \dots, x_n)$ ,  $\varphi \in C^2(T(y_0) \cap \mathcal{N})$  and  $D\varphi(y'_0) = 0$ . The eigenvalues of  $[D^2\varphi(y'_0)]$ ,  $\kappa_1, \dots, \kappa_n$  are call the principal curvatures of  $\partial\Omega$  at  $y_0$ . By a further rotation of coordinates the Hessian matrix can be diagonalized to be

$$(2.2) \quad [D^2\varphi(y'_0)] = \text{diag}[\kappa_1, \dots, \kappa_n].$$

We call the coordinates after the rotation the *principal coordinate system* at  $y_0$ . The unit outward normal vector  $\bar{\nu}(y') = \nu(y)$  at the point  $y = (y', \varphi(y')) \in \mathcal{N} \cap \partial\Omega$  is given by

$$(2.3) \quad \nu_i(y) = \frac{D_i\varphi(y')}{\sqrt{1 + |D\varphi(y')|^2}}, i = 1, \dots, n, \nu_{n+1}(y) = \frac{-1}{\sqrt{1 + |D\varphi(y')|^2}}.$$

Therefore, under the principal coordinates at  $y_0$ , we have

$$(2.4) \quad D_j \bar{\nu}_i(y'_0) = \kappa_i \delta_{ij}, i, j = 1, \dots, n.$$

For each point  $x \in G$ , there exists a unique point  $y = y(x) \in \partial\Omega$  such that  $|x - y| = \delta(x)$ . The points  $x$  and  $y$  are related by

$$(2.5) \quad x = y - \delta\nu(y).$$

We show that this equation determines  $y$  and  $\delta$  as  $C^1$  functions of  $x$ .

For a fixed point  $x_0 \in G$ , let  $y_0 = y(x_0)$  and choose a principal coordinate system at  $y_0$ . Let  $\mathbf{g} = (g^1, \dots, g^n)$  be a mapping from  $\mathcal{U} = (T(y_0) \cap \mathcal{N}(y_0)) \times \mathbb{R}$  into  $\mathbb{R}^{n+1}$  by

$$(2.6) \quad \mathbf{g}(y', \delta) = y - \nu(y)\delta, y = (y', \varphi(y')).$$

Clearly,  $\mathbf{g} \in C^1(\mathcal{U})$ , and the Jacobian matrix of  $\mathbf{g}$  at  $(y'_0, \delta(x_0))$  is given by

$$(2.7) \quad [D\mathbf{g}] = \text{diag}[1 - \kappa_1\delta, \dots, 1 - \kappa_n\delta, 1].$$

Since the Jacobian of  $\mathbf{g}$  at  $(y'_0, \delta(x_0))$  is given by

$$(2.8) \quad \det[D\mathbf{g}] = (1 - \kappa_1\delta(x_0)) \cdots (1 - \kappa_n\delta(x_0)) > 0,$$

because  $x \in G$ , it follows from the inverse mapping theorem that for some neighborhood  $\mathcal{M} = \mathcal{M}(x_0)$  of  $x_0$ , the mapping  $y'$  is contained in  $C^1(\mathcal{M})$ . From (2.5) we have  $D\delta(x) = -\nu(y(x)) = -\nu(y'(x)) \in C^1(\mathcal{M})$  for  $x \in \mathcal{M}$ . Hence  $\delta \in C^2(G)$ .

□



**Lemma 2.4.** *Let  $\Omega \subset \mathbb{R}^{n+1}$ . Suppose  $x \in G$  and let  $y = N(x)$  be the nearest point on the boundary. Let  $\kappa_i(y)$ ,  $i = 1, \dots, n$  be the principal curvatures of the boundary at  $y$ , then in terms of a principal coordinate system at  $y$ , for  $\forall x \in G$ , we have*

$$(2.9) \quad [D^2\delta(x)] = \text{diag}\left[\frac{-\kappa_1}{1-\delta\kappa_1}, \dots, \frac{-\kappa_n}{1-\delta\kappa_n}, 0\right],$$

where  $[D^2\delta(x)]$  is the Hessian matrix of the distance function and right hand side is a diagonal matrix.

*Proof.* Geometrically, the result follows from the fact that circles of principal curvature to  $\partial\Omega$  at  $y_0$  and to the level surface at  $x_0$  are concentric. Since it is already proved that  $\delta \in C^2(G)$  from Lemma 2.3, using the definition of principal curvatures and finding Jacobi matrix under change of variables, the proof of Lemma 14.17 in [21], can be used without any change.  $\square$

An expression for the Laplacian of a  $C^2(\mathbf{R}^+)$  function of  $\delta(x)$  can be found in [4].

Now we recall some important elementary facts used in the study of fully non-linear geometric PDEs. Let  $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{R}^n$ . Recall the  $k$ -th elementary symmetric functions of the vector  $\lambda$  is defined as follows:

$$(2.10) \quad \sigma_k(\lambda) = \sum_{1 \leq i_1 < \dots < i_k \leq n} \lambda_{i_1} \cdots \lambda_{i_k}.$$

In particular,  $\sigma_1(\lambda) = \sum_{i=1}^n \lambda_i$  and  $\sigma_n(\lambda) = \lambda_1 \cdots \lambda_n$ .

Below is a version of the well-known Newton-MacLaurin inequality for elementary symmetric functions which is the most important algebraic inequality in studying fully non-linear PDEs.

**Lemma 2.5. (Newton's Inequality [31])** *Let  $\lambda = (\lambda_1, \dots, \lambda_n)$  with  $\lambda_i > 0$  for all  $i = 1, \dots, n$  and  $\sigma_k(\lambda)$  defined as in (2.10). Then*

$$(2.11) \quad \frac{\sigma_{n-1}(\lambda)}{\sigma_n(\lambda)} \geq \dots \geq c(n, k) \frac{\sigma_{k-1}(\lambda)}{\sigma_k(\lambda)} \geq \dots \geq n^2 \frac{1}{\sigma_1(\lambda)},$$

where  $c(n, k) = \frac{n(n-k+1)}{k}$ . The equalities hold if and only if  $\lambda_1 = \dots = \lambda_n$ .

Now we apply Lemma 2.5 to prove the following proposition.

**Proposition 2.6.** *Let  $\kappa = (\kappa_1, \dots, \kappa_n) \in \mathbb{R}^n$  be the principal curvatures and  $H$  the mean curvature of the boundary at a point on  $\partial\Omega \in C^2$ . Then*

$$(2.12) \quad \sum_{i=1}^n \frac{\kappa_i}{1-\delta\kappa_i} \geq \frac{nH}{n-\delta H},$$

whenever  $1-\delta\kappa_i > 0$  is satisfied for all  $i = 1, \dots, n$ . Equality holds if and only if  $\kappa_1 = \dots = \kappa_n$ .

*Proof.* Note that  $\sigma_1(\kappa) = H$ . Let  $\lambda_i = 1 - \delta\kappa_i$ , then  $\sigma_1(\lambda) = n - \delta H$ . We may assume that  $\delta > 0$ , otherwise the result holds trivially. Applying (2.11),

we have

$$(2.13) \quad \sum_i^n \frac{\delta \kappa_i}{1 - \delta \kappa_i} = \sum_{i=1}^n \frac{1 - \lambda_i}{\lambda_i} = \sum_{i=1}^n \frac{1}{\lambda_i} - n$$

It is not hard to see that  $\frac{\sigma_{n-1}(\lambda)}{\sigma_n(\lambda)} = \sum_{i=1}^n \frac{1}{\lambda_i}$ . Hence, from (2.11)

$$(2.14) \quad \begin{aligned} \sum_i^n \frac{\delta \kappa_i}{1 - \delta \kappa_i} &= \frac{\sigma_{n-1}(\lambda)}{\sigma_n(\lambda)} - n \\ &\geq \frac{n^2}{\sigma_1(\lambda)} - n \\ &= \frac{n\delta H}{n - \delta H}. \end{aligned}$$

By Lemma 2.5, equality holds if and only if all the  $\lambda_i$ s are the same. Equivalently, all the principal curvatures at the point must be equal.  $\square$

Combining Lemma 2.4 and Proposition 2.6, and also applying Lemma 2.2, one easily sees that (1.13) holds on the good set  $G$ .

**Corollary 2.7.** *Let  $\Omega \subset \mathbb{R}^{n+1}$ . Then for any  $x \in G$ ,*

$$(2.15) \quad -\Delta \delta(x) \geq \frac{nH(y)}{n - \delta H(y)},$$

where  $\delta(x) := \inf_{y \in \mathbb{R}^{n+1} \setminus \Omega} \text{dist}(x, y)$  and  $H(y)$  is the mean curvature at the nearest point  $y = N(x) \in \partial\Omega$  of  $x$ .

### 3. SUPERHARMONICITY IN THE DISTRIBUTION SENSE

**3.1. Proof of Theorem 1.6 when  $\partial\Omega \in C^{2,1}$ .** *In this subsection, we assume that  $\partial\Omega$  is  $C^{2,1}$ .*

Since the test function  $\varphi$  in (1.14) has support in  $B(0, R)$  for some  $R > 0$ , we can replace  $\Omega$  by a bounded  $\Omega_R$ , still with  $C^{2,1}$  boundary, and  $\Omega \cap B(0, 3R) = \Omega_R \cap B(0, 3R)$ . It is clear that the distance function  $\delta_R$ , for  $\Omega_R$ , coincides with the distance function  $\delta$  on the support of  $\varphi$ . Therefore we can assume that  $\Omega$  is bounded in deriving (1.14) for  $\varphi$ .

For  $z \in \partial\Omega$ , let

$$\bar{\rho}(z) := \sup\{t : z + t\eta(z) \in G\},$$

where  $\eta = -\nu$  is the inward unit normal. From every point  $z$  on  $\partial\Omega$ , move along the inner normal until first hitting a point on the singular set  $S$ . We will denote this point to be  $m(z)$  following the notations in [27]. It is known that

$$m(z) := z + \bar{\rho}(z)\eta(z).$$

The following non-trivial result was independently established, with different proofs, by Itoh-Tanaka [26] and Li-Nirenberg [27].

**Theorem 3.1.** [26, 27] *The map  $m(z)$  and the function  $\bar{\rho}(z)$  are in  $C_{loc}^{0,1}(\partial\Omega)$ .*

As a corollary of the above theorem, one obtains

**Corollary 3.2.** [27] *Let  $\Omega \subset \mathbb{R}^{n+1}$  and  $S \subset \Omega$  be the singular set defined in (2.1). The Hausdorff measure of the singular set  $H^n(S) < \infty$ .*

Recall the following fact. For  $x \in G = \Omega \setminus S$ , if we let  $N(x)$  be the unique point on  $\partial\Omega$ , such that,

$$\delta(x) = |x - N(x)|,$$

i.e.,  $N(x)$  is the nearest point on  $\partial\Omega$ , then  $\delta(x) \in C^2(\Omega \setminus S)$ .

Next, we introduce the following normalized distance function  $h(x)$  in  $G$  which will be important later,

$$(3.1) \quad h(x) := \frac{\delta(x)}{\Lambda(x)}$$

where  $\Lambda(x) = \bar{\rho}(N(x))$ ,  $N(x)$  is the nearest point of  $x$  on  $\partial\Omega$  and  $\bar{\rho}(z)$  is the Lipschitz function in Theorem 3.1. Note  $\Lambda(x)$ , and therefore,  $h(x)$ , originally defined in  $G$  can be extended as a continuous function in  $\Omega = G \cup S$ , by defining the value of  $h$  on  $S$  to be 1. Therefore  $\Lambda$  and  $h$ , belong to  $C_{loc}^{0,1}(\bar{\Omega} \setminus S) \cap C^0(\bar{\Omega})$ .

Indeed, we have

**Lemma 3.3.** *For  $\forall \bar{x} \in S$ ,*

$$(3.2) \quad \lim_{x \rightarrow \bar{x}, x \in G} h(x) = 1.$$

*Proof.* For  $\bar{x} \in S$ ,  $\exists \bar{z} \in \partial\Omega$ , s.t.,

$$(3.3) \quad m(\bar{z}) = \bar{z} + \bar{t}\eta(\bar{z}) = \bar{x},$$

where  $\eta$  is the unit inner normal of  $\partial\Omega$  at  $\bar{z}$ . We also have  $|m(\bar{z}) - \bar{z}| = |\bar{x} - \bar{z}| = \bar{t}$ .

$\forall x_i \in G$ ,  $x_i \rightarrow \bar{x}$ ,  $\exists! z_i := N(x_i) \in \partial\Omega$ , s.t.  $|x_i - z_i| = \delta(x_i)$ .

By Corollary 4.11 of [27],

$$(3.4) \quad \Lambda(x_i) > |x_i - z_i| = \delta(x_i),$$

which implies

$$(3.5) \quad \liminf_{i \rightarrow \infty} \Lambda(x_i) \geq \delta(\bar{x}).$$

On the other hand, since  $m(z_i) = z_i + t_i\eta(z_i)$ , we have  $\Lambda(x_i) = t_i$ . We need the following claim :

**Claim:**

$$(3.6) \quad \limsup_{i \rightarrow \infty} \Lambda(x_i) \leq \delta(\bar{x}).$$

We now prove the claim by contradiction. If not, then  $\exists \alpha > 0$ , s.t.  $\Lambda(x_i) > \delta(\bar{x}) + \alpha$ , for  $\forall i$  large. Passing to a subsequence, we may assume that

$$(3.7) \quad \begin{aligned} z_i &\rightarrow \hat{z} \in \partial\Omega \\ \Lambda(x_i) = t_i &\rightarrow \hat{t} \geq \delta(\bar{x}) + \alpha. \end{aligned}$$

By the continuity of  $m(z)$ , c.f. [27],

$$(3.8) \quad m(z_i) = z_i + t_i \eta(z_i) \rightarrow m(\hat{z}).$$

We have

$$(3.9) \quad m(\hat{z}) = \hat{z} + \hat{t} \eta(\hat{z}).$$

But  $x_i \rightarrow \bar{x}$ ,  $x_i = z_i + \tilde{t}_i \eta(z_i)$ , and  $\tilde{t}_i < t_i$ ,  $|x_i - z_i| = \tilde{t}_i$ ,  $\tilde{t}_i \rightarrow \tilde{t}$ . In the end we have

$$(3.10) \quad \bar{x} = \hat{z} + \tilde{t} \eta(\hat{z}).$$

Since

$$(3.11) \quad \begin{aligned} \tilde{t}_i &= |x_i - z_i| \\ &= \text{dist}(x_i, \partial\Omega) \\ &\leq \text{dist}(x_i, \bar{x}) + \text{dist}(\bar{x}, \partial\Omega) \\ &= \text{dist}(x_i, \bar{x}) + \delta(\bar{x}) \end{aligned}$$

where the term  $\text{dist}(x_i, \bar{x}) \rightarrow 0$ . This implies  $\tilde{t} \leq \delta(\bar{x}) \leq \hat{t} - \alpha < \hat{t}$ . By Corollary 4.11 of [27],

$$(3.12) \quad \bar{x} = \hat{z} + \tilde{t} \eta(\hat{z}) \in G$$

in view of (3.9), which yields a contradiction. Thus we have proved that

$$(3.13) \quad \lim_{i \rightarrow \infty} \Lambda(x_i) = \delta(\bar{x}),$$

and

$$(3.14) \quad \lim_{x \rightarrow \bar{x}} \Lambda(x) = \delta(\bar{x}).$$

The proof of the lemma is finished. □

$h(x)$  satisfies the following lemma.

**Lemma 3.4.** *The normalized distance function  $h(x) \in C_{loc}^{0,1}(\bar{\Omega} \setminus S) \cap C^0(\bar{\Omega})$ , and*

$$(3.15) \quad h(x) = \begin{cases} 0, & x \in \partial\Omega, \\ 1, & x \in S \end{cases}$$

and  $0 < h(x) < 1$  otherwise.

We consider

$$(3.16) \quad h_\epsilon(x) = \int_{B(0,\epsilon)} h(x-y) \varphi_\epsilon(y) dy,$$

where  $\varphi_\epsilon(x) = \epsilon^{-n} \varphi(\frac{x}{\epsilon})$  is a standard mollifier with compact support in a  $\epsilon$ -neighbourhood of  $x$ . From this definition, one has

$$h_\epsilon \rightarrow h \quad \text{in } C_{loc}^0(\bar{\Omega} \setminus S).$$

From now on, we fix  $\forall 0 < \mu < 1$ . Let us choose a sequence of  $\lambda_\epsilon$ ,

$$(3.17) \quad \lambda_\epsilon \rightarrow 1 - \mu, \text{ as } \epsilon \rightarrow 0,$$

such that  $\lambda_\epsilon$  are regular values of  $h_\epsilon$ . It follows, for small  $\epsilon$  (depending on  $\mu$ ), that

$$(3.18) \quad \Sigma_\epsilon := \{h_\epsilon = \lambda_\epsilon\} \subset G$$

are regular smooth hypersurfaces.

We first show that the smooth hypersurfaces  $\Sigma_\epsilon$  stays away from the singular set  $S$  and close to  $\{x \in \Omega : h(x) = 1 - \mu\}$  for small  $\epsilon$ .

**Lemma 3.5.** *Let  $\Omega \subset \mathbb{R}^{n+1}$ . Then the hypersurface  $\Sigma_\epsilon$  defined in (3.18) satisfies*

$$(3.19) \quad \lim_{\epsilon \rightarrow 0} \text{dist}(\Sigma_\epsilon, \{x : h(x) = 1 - \mu\}) = 0.$$

*Proof.* Suppose the contrary, there exists  $\alpha > 0$  such that for some  $x_\epsilon \in \Sigma_\epsilon$ ,

$$(3.20) \quad \text{dist}(x_\epsilon, \{h = 1 - \mu\}) \geq \alpha,$$

along a sequence of  $\epsilon \rightarrow 0$ . Passing to another subsequence, we may assume that  $x_\epsilon \rightarrow \bar{x} \in \mathbb{R}^{n+1}$ . By the continuity of  $h$ ,

$$h(\bar{x}) = \lim_{\epsilon \rightarrow 0} h_\epsilon(x_\epsilon) = \lim_{\epsilon \rightarrow 0} \lambda_\epsilon = 1 - \mu.$$

It follows from (3.20) that  $|x_\epsilon - \bar{x}| \geq \alpha > 0$ , violating the convergence of  $x_\epsilon$  to  $\bar{x}$ .  $\square$

For every  $0 < \mu < 1/8$ , there exists, in view of Lemma 3.5,  $0 < \epsilon_1(\mu)$  such that

$$\Sigma_\epsilon \subset \{1 - \frac{5\mu}{4} \leq h \leq 1 - \frac{3\mu}{4}\}.$$

The following is the key lemma.

**Lemma 3.6.** *For any fixed  $0 < \mu < 1/8$  and  $0 < \epsilon \leq \epsilon_1(\mu)$ ,  $\exists C(\mu) > 0$  such that, for  $\epsilon > 0$  small enough,*

$$(3.21) \quad \eta_\epsilon \cdot \nabla \delta \geq C(\mu) > 0 \quad \text{on } \Sigma_\epsilon.$$

*Proof.* For any  $x \in \Sigma_\epsilon$ , we first give the following claim.

**Claim:** For  $0 < \epsilon \leq \epsilon_1(\mu)$ ,  $x \in \Sigma_\epsilon$ ,

$$(3.22) \quad \nabla h_\epsilon(x) \cdot \nabla \delta(x) \geq C'(\mu) > 0.$$

Lemma 3.6 follows from (3.22) as follows. Since  $\{1 - \frac{3\mu}{2} \leq h \leq 1 - \frac{\mu}{2}\}$  stays positive distance away from the singular set  $S$ , and  $h$  is locally Lipschitz on  $G \setminus S$ , we have  $|\nabla h| \leq C''(\mu)$  on  $\{1 - \frac{3\mu}{2} \leq h \leq 1 - \frac{\mu}{2}\}$ . Thus

$$(3.23) \quad \begin{aligned} |h_\epsilon(x) - h_\epsilon(\tilde{x})| &\leq \int |h(x-y) - h(\tilde{x}-y)| \varphi_\epsilon(y) \\ &\leq C'''(\mu) \int |x - \tilde{x}| \varphi_\epsilon(y) \\ &\leq C'''(\mu) |x - \tilde{x}|, \end{aligned}$$

and we have  $|\nabla h_\epsilon(x)| \leq C''(\mu)$ . Then estimate (3.21) follows from  $\eta_\epsilon = \frac{\nabla h_\epsilon(x)}{|\nabla h_\epsilon(x)|}$  and (3.22).  $\square$

*Proof. (Proof of Claim (3.22).)* From definition of  $h_\epsilon$  in (3.16), we have

$$(3.24) \quad h_\epsilon(x + t\nabla \delta(x)) - h_\epsilon(x) = \int_{B(0,\epsilon)} \{h(x-y+t\nabla \delta(x)) - h(x-y)\} \varphi_\epsilon(y) dy.$$

Notice that, since  $N(x)$  is  $C^{1,1}$  off the singular set,

$$(3.25) \quad \begin{aligned} N(x-y+t\nabla \delta(x)) &= N(x-y+t\nabla \delta(x-y)) + O(t\epsilon) \\ &= N(x-y+t\nabla \delta(x-y)) + O(t\epsilon) \\ &= N(x-y) + O(t\epsilon), \end{aligned}$$

where  $N(x-y+t\nabla \delta(x-y)) = N(x-y)$ , because  $\nabla \delta(x-y)$  is the inward normal direction of  $\partial\Omega$  at  $N(x-y)$ .

This yields

$$(3.26) \quad \Lambda(x-y+t\nabla \delta(x)) = \bar{\rho}(N(x-y+t\nabla \delta(x))) = \bar{\rho}(N(x-y)) + O(t\epsilon) = \Lambda(x-y) + O(t\epsilon),$$

where we have used Theorem 3.1 which asserts that  $\bar{\rho}$  is a Lipschitz map.

We also have

$$(3.27) \quad \begin{aligned} &\delta(x-y+t\nabla \delta(x)) \\ &= \left| (x-y+t\nabla \delta(x)) - N(x-y+t\nabla \delta(x)) \right| \\ &= \left| x-y+t\nabla \delta(x) - N(x-y+t\nabla \delta(x-y)) \right| + O(t\epsilon) \\ &= \left| x-y+t\nabla \delta(x) - N(x-y) \right| + O(t\epsilon). \end{aligned}$$

For  $x \in \Sigma_\epsilon$ , and  $|y| < \epsilon$ , using (3.26) and (3.27), we have  
(3.28)

$$\begin{aligned} & h(x - y + t\nabla\delta(x)) - h(x - y) \\ &= \frac{|(x - y) - N(x - y) + t\nabla\delta(x)|}{\Lambda(x - y)} + O(t\epsilon) - \frac{|(x - y) - N(x - y)|}{\Lambda(x - y)} \\ &= \frac{|(x - y) - N(x - y) + t\nabla\delta(x)| - |(x - y) - N(x - y)|}{\Lambda(x - y)} + O(t\epsilon) \end{aligned}$$

By definition, we have

$$(3.29) \quad (x - y) - N(x - y) = |(x - y) - N(x - y)| \cdot \nabla\delta(x - y).$$

Applying (3.29) to (3.28), we have

$$\begin{aligned} (3.30) \quad & h(x - y + t\nabla\delta(x)) - h(x - y) \\ &= \frac{\left| \left[ |(x - y) - N(x - y)| + t \right] \cdot \nabla\delta(x) \right| - |(x - y) - N(x - y)|}{\Lambda(x - y)} + O(t\epsilon) \\ &= \frac{t}{\Lambda(x - y)} + O(t\epsilon) \\ &= \frac{t}{\Lambda(x) + O(\epsilon)} + O(t\epsilon). \end{aligned}$$

Combining (3.30) and (3.24), we have

$$(3.31) \quad \nabla h_\epsilon(x) \cdot \nabla\delta(x) = \lim_{t \rightarrow 0} \frac{h_\epsilon(x + t\nabla\delta(x)) - h_\epsilon(x)}{t} = \frac{1}{\Lambda(x)} + O(\epsilon).$$

Estimate (3.22) follow from the above. □

We now prove Theorem 1.6 for a  $C^{2,1}$  domain.

**Theorem 3.7.** *Let  $\Omega \subset \mathbb{R}^{n+1}$ ,  $n \geq 1$ . Then*

$$(3.32) \quad -\Delta\delta(x) \geq \frac{nH(N(x))}{n - \delta H(N(x))},$$

*in the distribution sense, i.e., for any  $\varphi \in C_0^\infty(\Omega)$ ,  $\varphi \geq 0$ , we have*

$$(3.33) \quad \int_\Omega \nabla\delta \nabla\varphi \geq \int_\Omega \frac{n(H \circ N)}{n - \delta(H \circ N)} \varphi.$$

Note that the function  $(H \circ N)(x)$  is well defined for  $x \in G$ , so it is a well defined  $L^\infty$  function since  $\Omega \setminus G$  is of zero Lebesgue measure.

*Proof.* By Lemma 3.5, we can construct, using a standard diagonal sequence selection argument, a sequence of  $\lambda_\epsilon \rightarrow 1^-$  such that

$$\Sigma_\epsilon := \{x \in \Omega : h_\epsilon(x) = \lambda_\epsilon\} \text{ has } C^\infty \text{ boundary,}$$

and

$$\Omega_\epsilon := \{x \in \Omega : h_\epsilon(x) < \lambda_\epsilon\}$$

satisfies

$$\bigcup_{\epsilon > 0} \Omega_\epsilon = G,$$

and

$$(3.34) \quad \eta_\epsilon \cdot \nabla \delta \geq 0, \quad \text{on } \partial \Sigma_\epsilon,$$

where  $\eta_\epsilon$  is the unit outer normal of the boundary of  $\Omega_\epsilon$ .

Since  $\delta(x)$  is  $C^2$  on  $\bar{\Omega}_\epsilon \subset G \cup \partial \Omega$ , we may apply the Green's formula to obtain

$$(3.35) \quad \begin{aligned} \int_{\Omega_\epsilon} \nabla \delta \nabla \varphi &= - \int_{\Omega_\epsilon} \varphi \Delta \delta + \int_{\partial \Omega_\epsilon} \varphi \frac{\partial \delta}{\partial \eta_\epsilon} \\ &\geq - \int_{\Omega_\epsilon} \varphi \Delta \delta \\ &\geq \int_{\Omega_\epsilon} \frac{nH \circ N}{n - \delta H \circ N} \varphi, \end{aligned}$$

where the last two inequalities follow from (3.34) and (2.15) respectively.

Letting  $\epsilon \rightarrow 0$  in (3.35), we complete the proof.  $\square$

**3.2. Proof of Theorem 1.6.** As in the previous subsection, we can assume that  $\Omega$  is bounded in deriving (1.14) for  $\varphi$ .

For  $z \in \partial \Omega$ , let, as in [27],

$$\tilde{m}(z) = z + \tilde{\rho}(z)\eta(z),$$

where  $\eta(z)$  denotes the unit inner normal to  $\partial \Omega$  at  $z$  and  $\tilde{\rho}(z) > 0$  is the largest number so that

$$\text{dist}(z + t\eta(z), \partial \Omega) = t, \quad \forall t \in (0, \tilde{\rho}(z)).$$

By Lemma 4.2 of [27] ( $C^2$  regularity of  $\partial \Omega$  is enough for the proof),  $\tilde{\rho}(z) \geq \bar{\rho}(z)$ . This implies that

$$(3.36) \quad B(m(z), \bar{\rho}(z)) \subset \Omega, \quad z \in \partial B(m(z), \bar{\rho}(z)), \quad \forall z \in \partial \Omega.$$

**Lemma 3.8.** *For every  $h \in C^2(\partial \Omega)$  satisfying*

$$0 < h(z) < \bar{\rho}(z), \quad z \in \partial \Omega,$$

let

$$\Sigma := \{z + h(z)\eta(z) \mid z \in \partial \Omega\}.$$

Then  $\Sigma$  is a  $C^1$  hypersurface with

$$(3.37) \quad \eta^\Sigma(x) \cdot \nabla \delta(x) > 0, \quad \forall x \in \Sigma,$$

where  $\eta^\Sigma(x)$  denotes the unit outer normal of the boundary of

$$\{z + th(z)\eta(z) \mid z \in \partial \Omega, 0 < t < 1\}.$$



*Proof.* For a point  $z \in \partial\Omega$ , we may assume without loss of generality that  $\bar{\rho}(z) = 1$ . After a translation and rotation, we may assume that  $z = 0$  is the origin, and the boundary near 0 is given by

$$x_{n+1} = g(x'), \quad x' = (x_1, \dots, x_n),$$

where  $g$  is a  $C^2$  function near  $0'$  satisfying

$$g(0') = 0, \quad \nabla g(0') = 0, \quad (\nabla^2 g(0')) \text{ is a diagonal matrix.}$$

The unit inner normal to  $\partial\Omega$  at  $(x', g(x'))$  near 0 is given by the graph of

$$\eta(x') := \frac{(-\nabla g(x'), 1)}{\sqrt{1 + |\nabla g(x')|^2}}.$$

The set  $\Sigma$  is given locally by

$$X(x') := (x', g(x')) + \tilde{h}(x')\eta(x'),$$

where  $\tilde{h}(x') = h(x', g(x'))$  is a  $C^2$  function near  $0'$ . We know that  $\tilde{h}(0') < \bar{\rho}(z) = 1$ . Clearly  $X \in C^1$ . We need to show that  $\Sigma$  indeed has a tangent plane at  $X(0')$ .

Using notations  $e_1 = (1, 0, \dots, 0), \dots, e_{n+1} = (0, \dots, 0, 1)$ , we have, for  $1 \leq \alpha \leq n$ ,

$$\frac{\partial X}{\partial x_\alpha}(0') = e_\alpha + \tilde{h}_{x_\alpha}(0')e_{n+1} + \tilde{h}(0')\frac{\partial \eta}{\partial x_\alpha}(0') = [1 - \tilde{h}(0')g_{x_\alpha x_\alpha}(0')]e_\alpha + \tilde{h}_{x_\alpha}(0')e_{n+1}.$$

By (3.36) and  $\bar{\rho}(z) = 1$ , the unit ball centered at  $e_{n+1}$  lies in  $\{x_{n+1} \geq g(x')\}$  near 0. It follows that  $g_{x_\alpha x_\alpha}(0') \leq 1$ . Thus

$$(3.38) \quad 1 - \tilde{h}(0')g_{x_\alpha x_\alpha}(0') > 0.$$

It follows that  $\Sigma$  has a tangent plane at  $X(0')$ . Since  $\tilde{\rho}(z) \geq \rho(z) = 1$ , we have

$$\delta(te_n) = t, \quad \forall 0 < t < 1,$$

and therefore

$$\nabla \delta(te_n) = e_n, \quad 0 < t < 1.$$

Since  $\eta^\Sigma(h(0)e_n)$  is the outer normal to the set, and  $\gamma(t) := th(0)e_n$  belongs to the set for  $0 < t < 1$ , we have

$$\eta^\Sigma(h(0)e_n) \cdot \nabla \delta(h(0)e_n) = \eta^\Sigma(h(0)e_n) \cdot e_n = \frac{1}{h(0)}\eta^\Sigma(h(0)e_n) \cdot \gamma'(1) \geq 0.$$

Moreover, in view of (3.38),

$$\text{span} \left\{ \frac{\partial X}{\partial x_\alpha}(0') \right\} = \text{span} \{e_\alpha + a_\alpha e_n\}, \quad \text{for some constants } a_\alpha,$$

which does not contain  $e_n$ . The inequality (3.37) follows.  $\square$

For  $\epsilon > 0$  small, we construct  $\bar{\rho}_\epsilon \in C^2(\partial\Omega)$  satisfying

$$|\bar{\rho}_\epsilon(z) - \bar{\rho}(z)| \leq \epsilon \bar{\rho}(z), \quad \forall z \in \partial\Omega.$$

Then we let

$$\Sigma_\epsilon := \{z + (1 - \epsilon)\bar{\rho}(z)\eta(z) \mid z \in \partial\Omega\}$$

and

$$\Omega_\epsilon := \{z + t(1 - \epsilon)\bar{\rho}_\epsilon(z)\eta(z) \mid z \in \partial\Omega, 0 < t < 1\}.$$

Clearly,  $\partial\Omega_\epsilon = \Sigma_\epsilon \cup \partial\Omega$ . By Lemma 3.8,  $\Sigma_\epsilon$  is a  $C^1$  hypersurface satisfying

$$\eta_\epsilon \cdot \nabla \delta \geq 0, \quad \text{on } \Sigma_\epsilon,$$

where  $\eta_\epsilon$  is the unit outer normal of  $\partial\Omega_\epsilon$ . Clearly

$$\cup_{\epsilon > 0} \Omega_\epsilon = G.$$

With the above, the proof of Theorem 1.6 for  $C^{2,1}$  domain  $\Omega$  in the previous subsection goes through without any change, using the fact that  $S$  has zero Lebesgue measure.

3.3. Next, we prove the following proposition.

**Proposition 3.9.** *Let  $\Omega \subset \mathbb{R}^{n+1}$ ,  $n \geq 1$ , and let  $G$  be the good set defined as in (2.1). Then*

$$(3.39) \quad \inf_{x \in G} (-\Delta \delta(x)) = \inf_{y \in \partial\Omega} H(y),$$

where  $H(y)$  is the mean curvature of the boundary at  $y$ .

*Proof.* From Lemma 2.4, we have

$$(3.40) \quad -\Delta \delta(x) = \sum_{i=1}^n \frac{\kappa_i(N(x))}{1 - \delta(x)\kappa_i(N(x))}, \quad x \in G.$$

Since  $\sum_{i=1}^n \frac{\kappa_i}{1 - \delta\kappa_i}$  is a nondecreasing function of  $\delta$  independent of the sign of  $\kappa_i$ , as long as  $1 - \delta\kappa_i > 0$  for all  $i$ , we have, in view of (3.40),

$$-\Delta \delta(x) \geq \sum_{i=1}^n \kappa_i(N(x)) = H(N(x)) \geq \inf_{y \in \partial\Omega} H(y), \quad x \in G.$$

It follows that

$$\inf_{x \in G} (-\Delta \delta(x)) \geq \inf_{y \in \partial\Omega} H(y).$$

On the other hand, for every  $y \in \partial\Omega$ , since  $x_t = y + t\nu(y) \in G$  for  $t > 0$  small, we have, in view of (3.40),

$$\inf_{x \in G} (-\Delta \delta(x)) \leq \lim_{t \rightarrow 0^+} (-\Delta \delta(x_t)) = H(y).$$

Thus

$$\inf_{x \in G} (-\Delta \delta(x)) \leq \inf_{y \in \partial\Omega} H(y).$$

Proposition 3.9 is proved.  $\square$

As a direct corollary, we prove the equivalence theorem, Theorem 1.7.

*Proof. (Proof of Theorem 1.7)* By definition, if  $\delta(x)$  is superharmonic, then  $-\Delta\delta(x) \geq 0$ , for any  $x \in G$ . If  $\Omega$  is weakly mean convex, then  $H(y) \geq 0$ , for any  $y \in \partial\Omega$ . Then the proof follows directly from Proposition 3.9.  $\square$

**Remark 3.10.** Geometrically,  $-\Delta\delta(x)$  is the mean curvature of the level surface of  $\delta$  through  $x$  at  $x$ , see, e.g., Gilbarg-Trudinger [21]. The geometric interpretation of Theorem 1.7 is that, the level surface of  $\delta$  is mean convex through  $x \in \Omega$  if and only if the boundary is mean convex. The comparison between level surface and boundary is evident since  $-\Delta\delta$  is a monotonically increasing function as  $\delta \rightarrow 0$  along the perpendicular direction, which is true even when near points have negative principal curvature.

**Remark 3.11.** Another estimate on  $-\Delta\delta$  can be found in Proposition 4.4 which states that the growth of  $-\Delta\delta$  with respect to  $\delta$  is at least a polynomial growth of degree  $p - 1$ .

#### 4. PROOFS OF MAIN THEOREMS

We first observe the following identity.

**Lemma 4.1.** Let  $\Omega \subset \mathbb{R}^{n+1}$ . For any  $f \in C_0^\infty(\Omega)$ , the following holds

$$(4.1) \quad \int_{\Omega} |\nabla f|^2 dx - \frac{1}{4} \int_{\Omega} \frac{f^2}{\delta^2} dx = \int_{\Omega} \left| \nabla f - \frac{f \nabla \delta}{2\delta} \right|^2 dx + \int_{\Omega} \nabla \delta \nabla \frac{f^2}{2\delta} dx.$$

*Proof.* Since  $f \in C_0^\infty(\Omega)$ ,  $\frac{f^2}{\delta}$  is a Lipschitz function compactly supported in  $\Omega$ . We have

$$(4.2) \quad \begin{aligned} \int_{\Omega} \nabla \delta \nabla \frac{f^2}{2\delta} dx &= - \int_{\Omega} \frac{f^2 |\nabla \delta|^2}{2\delta^2} dx + \int_{\Omega} \frac{f \nabla \delta \cdot \nabla f}{\delta} dx \\ &= - \int_{\Omega} \frac{f^2}{2\delta^2} dx + \int_{\Omega} \frac{f \nabla \delta \cdot \nabla f}{\delta} dx, \end{aligned}$$

where the last step follows from  $|\nabla \delta| = 1$  a.e. in  $\Omega$ . Using the elementary identity

$$|X|^2 - |Y|^2 = |X - Y|^2 + 2 \langle X, Y \rangle - 2|Y|^2,$$

and letting  $X = \nabla f$ ,  $Y = \frac{f}{2\delta} \nabla \delta$ , we have the following pointwise identity,

$$(4.3) \quad |\nabla f|^2 - \frac{f^2 |\nabla \delta|^2}{4\delta^2} = \left| \nabla f - \frac{f \nabla \delta}{2\delta} \right|^2 + \frac{f \nabla f \cdot \nabla \delta}{\delta} - \frac{f^2 |\nabla \delta|^2}{2\delta^2}.$$

Upon integration we have

$$\begin{aligned} & \int_{\Omega} |\nabla f|^2 dx - \int_{\Omega} \frac{f^2}{4\delta^2} dx \\ &= \int_{\Omega} \left| \nabla f - \frac{f \nabla \delta}{2\delta} \right|^2 dx + \int_{\Omega} \frac{f \nabla \delta \cdot \nabla f}{\delta} dx - \int_{\Omega} \frac{f^2}{2\delta^2} dx \\ &= \int_{\Omega} \left| \nabla f - \frac{f \nabla \delta}{2\delta} \right|^2 dx + \int_{\Omega} \nabla \delta \nabla \frac{f^2}{2\delta} dx, \end{aligned}$$

where we have used (4.2) in the last step.  $\square$

We now prove Theorem 1.2 ( $p = 2$ ) and Theorem 1.3.

*Proof. (Proof of Theorem 1.2 ( $p = 2$ ) and Theorem 1.3)* By Theorem 1.6, and a standard density argument,

$$(4.4) \quad \int_{\Omega} \nabla \delta \nabla \frac{f^2}{2\delta} dx \geq \int_{\Omega} \frac{nH}{n - \delta H} \frac{f^2}{2\delta} dx.$$

Applying (4.4) to (4.1) in Lemma 4.1, we have

$$(4.5) \quad \begin{aligned} \int_{\Omega} |\nabla f|^2 dx - \frac{1}{4} \int_{\Omega} \frac{f^2}{\delta^2} dx &\geq \int_{\Omega} \frac{nH}{n - \delta H} \frac{f^2}{2\delta} dx + \int_{\Omega} \left| \nabla f - \frac{f \nabla \delta}{2\delta} \right|^2 dx \\ &\geq \int_{\Omega} \frac{nH}{n - \delta H} \frac{f^2}{2\delta} dx. \end{aligned}$$

Now, suppose  $H_0 > 0$ . Otherwise, when  $H_0 = 0$ , the theorems hold trivially from (4.5). Let  $\phi(t) := \frac{1}{at - t^2}$ , with  $a > 0$ . First, we have the following elementary inequality,

$$(4.6) \quad \phi(t) \geq \frac{4}{a^2}, \quad \text{for all } t \in (0, a)$$

since the minimum of  $\phi(t)$  is attained at  $t_0 = \frac{a}{2}$ .

Let  $a = \frac{n}{H}$  and  $t = \delta$ . For  $\forall x \in \Omega \setminus S$ , the fact that  $t < a$  in this case follows from (2.1). Consequently, we have  $\frac{H}{(n - \delta H)\delta} \geq \frac{4H^2}{n^2}$  for  $x \in G$  and

$$(4.7) \quad \int_{\Omega} \frac{nH}{n - \delta H} \frac{f^2}{2\delta} dx \geq \int_{\Omega} \frac{2}{n} H^2 f^2 dx$$

where we have used  $\Omega \setminus G$  has measure zero.

Apply (4.7) to (4.5), we have

$$(4.8) \quad \begin{aligned} \int_{\Omega} |\nabla f|^2 dx - \frac{1}{4} \int_{\Omega} \frac{f^2}{\delta^2} dx &\geq \int_{\Omega} \frac{2}{n} H^2 f^2 dx \\ &\geq \frac{2}{n} H_0^2 \int_{\Omega} f^2 dx. \end{aligned}$$

This finishes the proof of improved Hardy inequality in Theorem 1.3 with  $\lambda(n, \Omega) \geq \frac{2}{n} H_0^2$ , which also implies the Hardy inequality (1.6) for  $p = 2$ .  $\square$

We next prove the  $L^p$  version of Hardy inequalities. First we give an inequality as a  $L^p$  version of Lemma 4.1. In the context of convex domains, the following method was used first in [16].

**Lemma 4.2.** *Let  $\Omega \subset \mathbb{R}^{n+1}$ . For any  $f \in C_0^\infty(\Omega)$  and  $p > 1$ , the following holds*

$$(4.9) \quad \int_{\Omega} |\nabla f|^p dx - \left( \frac{p-1}{p} \right)^p \int_{\Omega} \frac{|f|^p}{\delta^p} dx \geq \left( \frac{p-1}{p} \right)^{p-1} \int_{\Omega} \nabla \delta \nabla \frac{|f|^p}{\delta^{p-1}} dx.$$

*Proof.* Recall the following elementary inequality for vectors when  $p > 1$ ,

$$(4.10) \quad |X|^p - |Y|^p \geq p|Y|^{p-2} \langle X - Y, Y \rangle.$$

Let  $X = \nabla f$ ,  $Y = \frac{p-1}{p} \frac{f}{\delta} \nabla \delta$ , then the following pointwise identity holds

$$(4.11) \quad |\nabla f|^p - \left(\frac{p-1}{p}\right)^p \frac{|f|^p |\nabla \delta|^p}{\delta^p} \geq \left(\frac{p-1}{p}\right)^{p-1} |\nabla \delta|^{p-2} \nabla \delta \nabla \frac{|f|^p}{\delta^{p-1}},$$

where we have used that  $X - Y = \delta^{\frac{p-1}{p}} \nabla \frac{f}{\delta^{\frac{p-1}{p}}}$ . Using the fact that  $|\nabla \delta| = 1$ , we finished the proof upon integration.  $\square$

Next we derive a Brezis-Marcus type of improved  $L^p$  Hardy inequality on weakly mean convex domains.

**Theorem 4.3.** *Let  $\Omega \subset \mathbb{R}^{n+1}$ ,  $n \geq 1$ . Suppose  $\Omega$  is weakly mean convex, then for any  $f \in C_0^\infty(\Omega)$ ,  $p > 1$ , the following holds:*

$$(4.12) \quad \int_{\Omega} |\nabla f|^p dx \geq \left(\frac{p-1}{p}\right)^p \int_{\Omega} \frac{|f|^p}{\delta^p} dx + \lambda(n, p, \Omega) \int_{\Omega} |f|^p dx.$$

$$\text{where } \lambda(n, p, \Omega) = \left(\frac{p-1}{p}\right)^{p-1} \inf_{\Omega \setminus S} \frac{-\Delta \delta}{\delta^{p-1}} \geq \frac{p}{n^{p-1}} H_0^p.$$

*Proof.* The proof is similar as in the proof of the  $L^2$  version. By the same reasoning as in (4.4) and (4.9), one simply observes that

$$(4.13) \quad \int_{\Omega} \nabla \delta \nabla \frac{f^p}{\delta^{p-1}} dx \geq \int_{\Omega} \frac{nH}{n - \delta H} \frac{f^p}{\delta^{p-1}} dx.$$

Applying (4.13) to (4.9) in Lemma 4.2, we have

$$(4.14) \quad \int_{\Omega} |\nabla f|^p dx - \left(\frac{p-1}{p}\right)^p \int_{\Omega} \frac{|f|^p}{\delta^p} dx \geq \left(\frac{p-1}{p}\right)^{p-1} \int_{\Omega} \frac{nH}{n - \delta H} \frac{|f|^p}{\delta^{p-1}} dx.$$

Let  $\phi(t) := \frac{1}{at^{p-1} - t^p}$ , with  $p > 1$  and  $a > 0$ . First, we have the following elementary inequality,

$$(4.15) \quad \phi(t) \geq \frac{p}{a^p} \left(\frac{p}{p-1}\right)^{p-1}, \quad t \in (0, a),$$

since the minimum of  $\phi(t)$  for  $t \in (0, a)$  is attained at  $t_0 = a^{\frac{p-1}{p}}$ .

Suppose  $H_0 > 0$ , otherwise  $H_0 = 0$  and the proof is finished. Let  $a = \frac{n}{H}$  and  $t = \delta$ , then we have  $\frac{nH}{(n - \delta H)\delta^{p-1}} \geq \frac{pH^p}{n^{p-1}} \left(\frac{p}{p-1}\right)^{p-1}$  for  $x \in G$  and

$$(4.16) \quad \begin{aligned} \int_G \frac{nH}{n - \delta H} \frac{|f|^p}{\delta^{p-1}} dx &\geq \left(\frac{p}{p-1}\right)^{p-1} \frac{p}{n^{p-1}} \int_G H^p |f|^p dx \\ &\geq \left(\frac{p}{p-1}\right)^{p-1} \frac{p}{n^{p-1}} H_0^p \int_G |f|^p dx. \end{aligned}$$

Using the fact that  $\Omega \setminus G$  has measure zero and applying (4.16) to (4.14), we finish the proof.  $\square$

The next result may be of independent interest.

**Proposition 4.4.** *Let  $\Omega \subset \mathbb{R}^{n+1}$ . Suppose  $\partial\Omega$  is weakly mean convex. Let  $H_0 := \inf_{\partial\Omega} H(y)$  and  $\delta(x)$  be the distance function to the boundary, then for  $p > 1$ , and  $\forall x \in \Omega \setminus S$ ,*

$$(4.17) \quad -\Delta\delta(x) \geq \frac{pH^p(y)}{n^{p-1}} \left(\frac{p}{p-1}\right)^{p-1} \delta^{p-1}(x) \geq \frac{pH_0^p}{n^{p-1}} \left(\frac{p}{p-1}\right)^{p-1} \delta^{p-1}(x),$$

where  $y = N(x) \in \partial\Omega$  is the near point of  $x$ .

*Proof.* When  $\delta = 0$  the proof follows from Theorem 1.7. Suppose  $\delta > 0$ . When  $p = 2$ , the proof of

$$(4.18) \quad \frac{-\Delta\delta(x)}{\delta(x)} \geq \frac{4}{n} H^2(y)$$

can be found in the proof of Theorem 1.2 ( $p = 2$ ) and 1.3. For general  $p > 1$ , the proof of

$$(4.19) \quad \frac{-\Delta\delta(x)}{\delta^{p-1}(x)} \geq \frac{pH_0^p}{n^{p-1}} \left(\frac{p}{p-1}\right)^{p-1}$$

can be found in the proof of Theorem 4.3 after using inequality (1.13).  $\square$

As mentioned in the introduction, the geometric requirement of weakly mean convexity cannot be weakened for sharp Hardy-type inequalities. However, by adding an extra positive term to the left hand-side of the inequality, one can still prove a Hardy type inequality for general domains. In particular, we have the following inequality for domains with boundaries that have points of negative mean curvature.

**Theorem 4.5.** *Let  $\Omega \subset \mathbb{R}^{n+1}$ ,  $n \geq 1$ . Suppose  $H_0 := \inf_{y \in \partial\Omega} H(y) < 0$ . Then for any  $f \in C_0^\infty(\Omega)$ , with  $p > 1$ , the following holds*

$$(4.20) \quad \int_{\Omega} |\nabla f(x)|^p dx + \left(\frac{p-1}{p}\right)^{p-1} |H_0| \int_{\Omega} \frac{|f|^p}{\delta^{p-1}} dx \geq c(n, p, \Omega) \int_{\Omega} \frac{|f|^p}{\delta^p} dx,$$

where  $c(n, p, \Omega) = \left(\frac{p-1}{p}\right)^p$  is the same constant as in the mean convex case.

*Proof.* The proof is the same as in the mean convex case. One only need to notice that the function  $\frac{nH_0}{n-\delta H_0}$  is monotonic with respect to  $\delta$  for any fixed  $H_0 \in \mathbb{R}$ , then the following holds on the good set  $G$

$$(4.21) \quad \frac{nH_0}{n-\delta H_0} \geq H_0.$$

Applying (4.21) to (4.14), proceed as before, we obtain

$$(4.22) \quad \int_{\Omega} |\nabla f|^p dx \geq \left(\frac{p-1}{p}\right)^p \int_{\Omega} \frac{|f|^p}{\delta^p} dx + \left(\frac{p-1}{p}\right)^{p-1} H_0 \int_{\Omega} \frac{|f|^p}{\delta^{p-1}} dx.$$

When  $H_0 < 0$ , we complete the proof by moving the last term to the left hand-side.  $\square$

In the rest of this section, we discuss the sharpness of the boundary geometric condition of weakly mean convexity. We will show by examples that the  $H \geq 0$  condition cannot be weakened.

**Example.** (Exterior domain) Let  $\Omega_\epsilon := \mathbb{R}^{n+1} \setminus B_{\frac{n}{\epsilon}}$  where  $B_{\frac{n}{\epsilon}}$  is a ball with radius  $\frac{n}{\epsilon}$  centered at the origin. The mean curvature of the boundary with respect to the exterior domain  $\Omega_\epsilon$  is  $H \equiv -\epsilon$ . For  $\mu_p(\Omega)$  given in (1.7), we use an idea of Marcus, Mizel, and Pinchover to show that the best constant  $\mu_{n+1}(\Omega_\epsilon) = 0$  for each  $\epsilon > 0$ , see Example 2 in [29].

Consider the sequence of domains  $\Omega_k = \frac{1}{k}\Omega_\epsilon$ ,  $k \geq 1$ . Then, as shown in [29],  $\mu_{n+1}(\Omega_k) = \mu_{n+1}(\Omega_\epsilon)$ . On the other hand, by Lemma 12 of [29],  $\limsup_{k \rightarrow \infty} \mu_{n+1}(\Omega_k) \leq \mu_{n+1}(R_*^{n+1})$ , where  $\mathbb{R}_*^{n+1} = \mathbb{R}^{n+1} \setminus \{0\}$ . According to Example 1 in [29],  $\mu_p(\mathbb{R}_*^n) = |\frac{n-p}{p}|^p$ . Thus  $\mu_{n+1}(\Omega_\epsilon) = 0$ . In particular, this example shows that for each  $\epsilon > 0$  the Hardy inequality (1.6) does not hold on  $\Omega_\epsilon \subset \mathbb{R}^2$  having negative mean curvature  $-\epsilon$  on the boundary.

In [2], Avkhadiiev and Laptev construct ellipsoid shells, i.e. two ellipsoids  $E_1, E_2, \bar{E}_2 \subset E_1 \subset \mathbb{R}^{n+1}$  with  $n \geq 2$ , and show that the sharp Hardy inequality fails on  $\Omega := E_1 \setminus \bar{E}_2$ . We can rescale  $\Omega$  in such a way that the mean curvature  $H(y) \geq -\epsilon$  for all  $y \in \partial E_2$  with arbitrary  $\epsilon > 0$  and  $H > 0$  on  $\partial E_1$ . Then we have another example which indicates that Hardy inequality with the sharp constant  $c(n, p, \Omega) = (\frac{p-1}{p})^p$  does not hold in general when the boundary has negative mean curvature.

## 5. OTHER IMPORTANT INEQUALITIES ON MEAN CONVEX DOMAINS

Due to the fundamental role that  $-\Delta\delta$  plays in Hardy type inequalities, we can apply the inequality in Theorem 1.6 to prove other inequalities. For example, in [17], Filippas, Maz'ya, and Tertikas proved several critical Hardy-Sobolev inequalities. As a special case of their Theorem 5.3, the following holds:

**Theorem. (Filippas-Maz'ya-Tertikas [17])** *Let  $2 \leq p < n$ ,  $p < q \leq \frac{np}{n-p}$ , and  $\Omega \subset \mathbb{R}^n$  be a bounded domain with  $C^2$  boundary. If the distance function  $\delta(x)$  is superharmonic, i.e.  $-\Delta\delta \geq 0$ , then there exists a positive constant  $c = c(\Omega)$  such that for all  $u \in C_0^\infty(\Omega)$ , there holds*

$$(5.1) \quad \int_{\Omega} |\nabla u|^p dx - \left(\frac{p-1}{p}\right)^p \int_{\Omega} \frac{|u|^p}{\delta^p} dx \geq c \left( \int_{\Omega} \delta^{-q+\frac{q-p}{p}n} |u|^q dx \right)^{\frac{p}{q}}.$$

As a direct corollary of our Theorem 1.6, we can generalize the above theorem to weakly mean convex domains.

**Theorem 5.1.** *Let  $2 \leq p < n$ ,  $p < q \leq \frac{np}{n-p}$ , and  $\Omega \subset \mathbb{R}^n$ . If the domain is weakly mean convex, then there exists a positive constant  $c = c(\Omega)$  such*

that for all  $u \in C_0^\infty(\Omega)$ , there holds

$$(5.2) \quad \int_{\Omega} |\nabla u|^p dx - \left(\frac{p-1}{p}\right)^p \int_{\Omega} \frac{|u|^p}{\delta^p} dx \geq c \left( \int_{\Omega} \delta^{-q+\frac{q-p}{p}n} |u|^q dx \right)^{\frac{p}{q}}.$$

In the extreme case, where  $q = \frac{np}{n-p}$ , the right-hand side is precisely the critical Sobolev term.

**Remark 5.2.** Notice that for  $k = 1$  the Condition (C) in [17] is equivalent to weakly mean convexity because of Theorem 1.7 and a bounded  $C^2$  domain satisfies Condition (R) in [17].

Sobolev inequalities with a sharp Hardy term as in (5.1) have drawn much attention recently. But the best constant  $c$  for the Sobolev term is largely unknown for general domains. If the domains are an upper half plane or a ball, the best constants are estimated by Tertikas and Tintarev in [34] for  $n > 3$  and by Benguria, Frank, and Loss in [6] for  $n = 3$ . When  $n = 2$ , a Hardy-Moser-Trudinger inequality is given by Wang and Ye [38]. Recently, Frank and Loss [20] proved that the constant  $c(\Omega)$  in (5.1) with  $q = \frac{np}{n-p}$  can be replaced by a constant  $c$  which is independent of  $\Omega$  provided the domain  $\Omega$  is convex.

Applying Theorem 1.7 to Theorem 3.4 in [17], we can extend the Hardy-Sobolev inequality to weakly mean convex domains.

**Theorem 5.3. (Hardy-Sobolev-Maz'ya Inequality)** Let  $\Omega \subset \mathbb{R}^{n+1}$ ,  $n \geq 1$  be a domain with a  $C^2$  boundary. If  $\partial\Omega$  is weakly mean convex, then there exists a positive constant  $C = C(n, p, \Omega)$  such that for any  $u \in C_0^\infty(\Omega)$

$$(5.3) \quad \int_{\Omega} |\nabla u|^p dx - \left(\frac{p-1}{p}\right)^p \int_{\Omega} \frac{|u|^p}{\delta^p} dx \geq C \left( \int_{\Omega} |u|^{\frac{np}{n-p}} dx \right)^{\frac{n-p}{n}}.$$

## 6. EXAMPLES

In this section, we will sample several interesting examples with non-trivial topology, which, of course, are non-convex.

**Example 1.** Let  $\Omega \subset \mathbb{R}^{n+1}$  be the critical ring torus with minor radius  $r = 1$  and major radius  $R = 2$ .  $H \geq 0$  on the boundary  $\partial\Omega$ . From elementary differential geometry textbooks, e.g., [32], we can easily calculate all the principal curvatures as below.

$$(6.1) \quad \kappa_1 = 1, \kappa_2 = \frac{\cos(\theta)}{2 + \cos(\theta)}.$$

For simplicity, we denote  $a := \cos(\theta)$ . We observe that

$$(6.2) \quad \mu(\delta, a) := \frac{-\Delta\delta}{2\delta} = \left( \frac{1}{1-\delta} + \frac{\frac{a}{2+a}}{1 - \frac{a}{2+a}\delta} \right) \frac{1}{2\delta},$$



is a monotonically increasing function of  $a$ . Hence, for any fixed  $\delta$ ,

$$(6.3) \quad \begin{aligned} \mu(\delta, a) &\geq \mu(\delta, -1) \\ &= \left( \frac{1}{1-\delta} - \frac{1}{1+\delta} \right) \frac{1}{2\delta} \\ &\geq 1 \end{aligned}$$

which yields  $\lambda(n, \Omega) \geq 1$ . Since on the inner equator,  $\frac{-\Delta\delta}{2\delta} = 1$ , we have  $\lambda(n, \Omega) = 1$ .

**Example 2.** Let  $\Omega \subset \mathbb{R}^{n+1}$  be the ring torus with minor radius  $r$  and major radius  $R$ , see the same example when  $n = 2$  in [4]. If  $R - 2r > 0$ , then  $H > 0$  everywhere on the boundary  $\partial\Omega$ .

**Example 3.** Other examples include mean convex torus with higher genus.

**Example 4.** It is geometrically clear that one can perturb the examples in Example 2 and Example 3 slightly and still keep the mean curvature strictly positive on the boundary  $\partial\Omega$ .

**Example 5.** Another example is a domain enclosed by a parabola in a plane or enclosed by an paraboloid in  $\mathbb{R}^{n+1}$ ,  $n \geq 2$ . This domain is convex with infinite interior radius.

**Example 6.** Lastly, there are domains with an embedded minimal surface as boundary. We notice that Hardy-type inequalities hold on either side of the minimal surface. We now prove Corollary 1.5.

*Proof. (Proof of Corollary 1.5.)* For a point  $\mathbf{y} \in \partial\Omega$ , let  $\kappa_1, \kappa_2$  be the principal curvatures. Since  $H = \kappa_1 + \kappa_2 = 0$ , we can denote the two principal curvatures to be  $\kappa$  and  $-\kappa$  with  $\kappa \geq 0$ . Since the following inequality holds everywhere in the good set  $G$  and on the whole domain  $\Omega$  in the distribution sense, we have

$$\frac{-\Delta\delta}{2\delta} = \frac{\kappa^2}{1 - \kappa^2\delta^2} \geq \kappa^2.$$

Let  $\kappa_0 := \inf_{\mathbf{y} \in \mathcal{M}} |\kappa(\mathbf{y})|$ , applying Theorem 1.3, and the proof is complete.  $\square$

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